

## Animal Disease Traceability Protocol

### Background:

Accredited veterinarians, when completing an ICVI, are required to ensure that the animals being shipped are identified in compliance with the federal traceability rules (see definition of ICVI in 9 CFR 86).

All Bovine export CVIs are reviewed by the DATCP office to ensure compliance with regulations.

Failure to comply with regulations in respect to Animal Disease Traceability (ADT) requirements, specifically in bovine animals will be subject to the following enforcement protocol.

Other events of non-compliant issues with CVI's and other official animal health forms will continue to be enforced as described under FSP 4 Veterinarian Compliance.

### Enforcement protocol:

#### Interstate Certificate Veterinary Inspection (ICVI Review)

If there are any deficiencies related to the federal traceability rule (see Federal Rules for Interstate Cattle Certificate of Veterinary Inspection: Explanation of Violations checklist as was previously used for bovine export CVI review), the veterinarian's history will be checked to see if there have been previous issues and the number of such issues there have been. Each violation will be considered an individual issue, not each violation of a specific nature. To check for previous violations including dates and nature of violations go to : I:\dah\Field Data and search by legal entity.

#### First Offense

If this is a first offense (NO prior cases issued regarding incomplete/inaccurate CVI's since July 1, 2016), a case will be created in case tracking to assign the field veterinarian (federal or state) in the area of the veterinarian with the deficiency to visit with that veterinarian about the problem. Any previous offenses (prior to July 1, 2016 or on CVI's related to other species) in the record (that did not lead to a field veterinarian visit), including those received back from other states, will be included in the case description for inclusion in the discussion. Current, updated, and/or new educational materials will be provided to the veterinarian. The veterinarian will also be notified that continued violations could result in problems with accreditation/WI certification.

Inform the Traceability Program Manager prior to the case being issued. This case may be used an opportunity to identify tag numbers for audits<sup>1</sup>.

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<sup>1</sup> Tag Audits

These veterinarians may also be selected for tag audits. Tag numbers will be used from Traceability Exercises or will otherwise be chosen and the veterinarian will be asked to be able to say the location at which the tag was applied. The tag records of the veterinarian can be discussed and improvements suggested if needed. Current, updated, and/or new educational materials will be provided to the veterinarian. If a tag audit is to be conducted it will be noted in the case.

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In Case Tracking enter the following:

Program: Traceability; AND Grant: Traceability  
Activity: Contact and Education.

Second Offense

If this is a second offense since July 1, 2016, a case will be created in case tracking to refer the veterinarian to the USDA Region 3 Assistant Director, currently Dr. Kevin Petersburg. The case from the first offense as described above (#3) is entered as the parent case. Dr. Petersburg will send a compliance letter to the veterinarian. This letter will also be provided back to DATCP care of the Director of the Bureau of Field Services, DATCP-DAH, for case closure.

In Case Tracking enter the following:

Program: Traceability; AND Grant: Traceability  
Activity: Compliance.

USDA-AD will provide a copy of the correspondence to the Director of the Bureau of Field Services, DATCP-DAH. The case will be closed with a resolution of warning notice. Documents will be processed in compliance all case close processes.

Third Offense

If this is a third offense, since July 1, 2016, a case will be created in case tracking to refer the veterinarian to the USDA Region 3 Assistant Director (Dr. Petersburg) who will then refer the case to Investigative and Enforcement Services (IES). The case from the first offense as described above (#3) should be indicated to be the parent case. Documentation concerning resolution of the case will be provided to the Director of the Bureau of Field Services DATCP-DAH as available to be included with the case.

In Case Tracking enter the following:

Program: Traceability; AND Grant: Traceability  
Activity: Compliance.

The case will be closed with referred other agency.

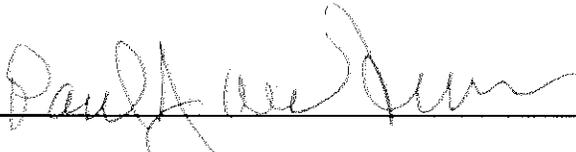
**Case Information**

All cases that are created must include:

- A summary of previous related compliance issues.
- All documented correspondence between the Department and entity in question.
- Reference to the rule or statute that is in question as appropriate

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Effective Date: 7/26/16

Signed: 

Dr. Paul J. McGraw, State Veterinarian